



Docket No.: 09297.99239 (I2GO01.CIP)

In re application of

**JOHNSON, et al.**

**Serial No.: 09/547,710**

**Filed: 11 April 2000**

**For: A SYSTEM FOR AND A METHOD OF  
IMPLEMENTING A CLOSED LOOP  
RESPONSE ARCHITECTURE FOR  
ELECTRONIC COMMERCE**

[illegible]

Group No.: **Not Assigned**

**Examiner: Not Assigned**

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

November 17, 2000

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

Honorable Sir:

In response to the Notice of Incomplete Reply mailed by the U.S. Patent Office on 26 October 2000, we enclose the following items:

1. Return Postcard;
2. Check No. 249397 for \$65.00 - Missing Part Fee;
3. Copy of Notice of Incomplete Reply (Nonprovisional); and
4. Fee Transmittal.

**U.S. Serial No. 09/547,710**

We have included the \$65.00 for the missing part fee. However, if any additional fees are deemed necessary, please charge Deposit Account No. 20-1507.

Respectfully submitted,

TROUTMAN SANDERS LLP

By: 

Gregory Scott Smith, Reg. No. 40,819  
Attorney for Applicants

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

### for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$65.00)

### Complete if Known

Application Number	09/547,710
Filing Date	April 11, 2000
First Named Inventor	Sam Johnson
Examiner Name	Not Assigned
Group / Art Unit	Not Assigned
Attorney Docket No.	09297.99239 (12GO01.CIP)

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>	
<b>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</b>		<b>3. ADDITIONAL FEES</b>	
Deposit Account Number	20-1507	Large Fee Code	Entity Fee (\$)
Deposit Account Name	Troutman Sanders LLP	Small Fee Code	Entity Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Fee Description	Fee Paid
<b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b>		105 130 205 65	Surcharge - late filing fee or oath
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25	Surcharge - late provisional filing fee or cover sheet
<b>FEE CALCULATION</b>		139 130 139 130	Non-English specification
<b>1. BASIC FILING FEE</b>		147 2,520 147 2,520	For filing a request for reexamination
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	112 920* 112 920*	Requesting publication of SIR after Examiner action
101 690 201 345	Utility filing fee	113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
106 310 206 155	Design filing fee	115 110 215 55	Extension for reply within first month
107 480 207 240	Plant filing fee	116 380 216 190	Extension for reply within second month
108 690 208 345	Reissue filing fee	117 870 217 435	Extension for reply within third month
114 150 214 75	Provisional filing fee	118 1,360 218 680	Extension for reply within fourth month
SUBTOTAL (1) (\$)		128 1,850 228 925	Extension for reply within fifth month
<b>2. EXTRA CLAIM FEES</b>		119 300 219 150	Notice of Appeal
Extra Claims Fee from below		120 300 220 150	Filing a brief in support of an appeal
Total Claims - 20** =	X	121 260 221 130	Request for oral hearing
Independent Claims - 3** =	X	138 1,510 138 1,510	Petition to institute a public use proceeding
Multiple Dependent		140 110 240 55	Petition to revive - unavoidable
**or number previously paid, if greater; For Reissues, see below		141 1,210 241 605	Petition to revive - unintentional
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	142 1,210 242 605	Utility issue fee (or reissue)
103 18 203 9	Claims in excess of 20	143 430 243 215	Design issue fee
102 78 202 39	Independent claims in excess of 3	144 580 244 290	Plant issue fee
104 260 204 130	Multiple dependent claim, if not paid	122 130 122 130	Petitions to the Commissioner
109 78 209 39	** Reissue independent claims over original patent	123 50 123 50	Petitions related to provisional applications
110 18 210 9	** Reissue claims in excess of 20 and over original patent	126 240 126 240	Submission of Information Disclosure Stmt
SUBTOTAL (2) (\$)		581 40 581 40	Recording each patent assignment per property (times number of properties)
		146 690 246 345	Filing a submission after final rejection (37 CFR 1.129(a))
		149 690 249 345	For each additional invention to be examined (37 CFR 1.129(b))
		Other fee (specify)	Petition for Allowance of Photographs
		Other fee (specify)	Missing Part Fee
		* Reduced by Basic Filing Fee Paid	SUBTOTAL (3) (\$)
			\$65.00

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Gregory Scott Smith	Reg. Number (Attorney/Agent)	40,819
Signature		Telephone	(404) 885-3354
Date	11/17/00		

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/547,710	04/11/2000	Sam Johnson	9727.99239(12GO01.CIP)

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600 Peachtree NE Suite 5200  
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## FORMALITIES LETTER



\*OC000000005508428\*

Date Mailed: 10/26/2000

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/20/2000 to the Notice to File Missing Parts (Notice) mailed 08/17/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/24/2000 WKOROMA 00000044 09547710

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